TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Under 37 CFR 1.97(b), (c), or (d)	Docket No. YOR920030510US1

In re Application of: Bigus, J.

Serial No.	Filing Date **HEREWITH**	Examiner **UNKNOWN**	Group Art Unit

Title: **METHODS AND APPARATUS FOR CUSTOMIZATION OF RULE-BASED APPLICATIONS**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.

37 CFR 1.97(d)

3. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.

Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)

☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))

☐ No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))

☐ The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782.

37 CFR §1.704(d)

4. ☐ Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Dated: _11/12/03_

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U.S. Department of Commerce, Patent and Trademark Office	Docket No.	Serial No.
(PTO Form 1449 modified)	YOR920030510US1	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant Bigus, J.	Confirmation No.:

(Use several sheets if necessary)		Filing Date Herewith	Group
	Examiner		

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1			.			
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation YES	Translation NO
	B1						☐	☐
	B2						☐	☐
	B3						☐	☐

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	Bergman, et al., "A Rule-based Tool for Assisting Colormap Selection," http://www.research.ibm.com/dx/proceedings/pravda/index.htm, allegedly copyrighted 1995 IBM Corporation, downloaded October 6, 2003
	C2	"BDRuleEngine Framework Brings Rule-Based Application Development to Cocoa," bDistributed.com, http://dbistributed.com/press/pr-2002-09-16-01.html, allegedly dated September 16, 2002, downloaded October 6, 2003
	C3	"20 Example Rule-Based Application," Oracle9*i* Streams, Release 2 (9.2) Part Number A96571-01, http://www.csis.gvsu.edu/GeneralInfo/Oracle/server.920/a96571/rulesdemo.htm, allegedly copyrighted 2002 Oracle Corporation, downloaded October 6, 2003
	C4	"Loan Logic Calculator: Rule-based Programming for Loan Application Processing," www.haley.com/LoanLogicCalculator, allegedly copyrighted 2000 The Haley Enterprise, Inc., downloaded October 6, 2003

Examiner	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.